BAKER BOTTS LLP

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DENAR OFFI				Application Number	10/048,185			
DEN	TRA	NSMIT	TAL	Filing Date	January 28, 2992	CE		
		FORM		First Named Inventor	Perez et al.	CENIER		
	(to be used for al	correspondence at	fter initial filing)	Group Art Unit	ТВА	ē		
				Examiner Name	ТВА			
	Total Number of	Pages in This Sub	mission	Attorney Docket Numb	oer A34934-PCT-USA	072667.0		
			ENC	LOSURES (chec	k all that apply)	· · · · · · · · · · · · · · · · · · ·		
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			Chang	of Attorney, Revocation ge of Correspondence	Other Enclosure	Other Enclosure(s) (please		
	Extension of Time R	equest	Addres	ss nal Disclaimer	identify below): Form PTO-1449 (6 she	ets);		
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

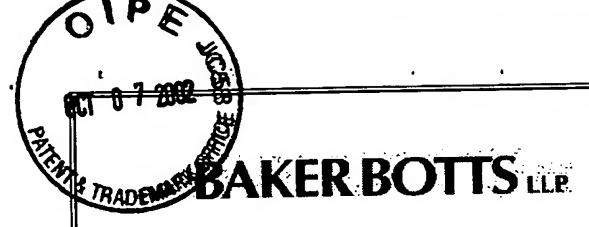
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METHOD OF PAYMENT		FE	E CALCULATION (continued)	
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indicated fees and credit any overpayments to:	Large	Sma		
Deposit Account 02-4377	Entity Fee	Entit Fee		Fee Paid
Number	(\$)	(\$)	Fee Description	
Account Name Baker Botts LLP	130	65	Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	50	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status.	130	130	Non-English specification	
See 37 CFR 1.27	2,520	2,520	For filing a request for ex parte reexamination	
2. Payment Enclosed: Check Credit card Money Other	920*	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	1,840*	1,8401	* Requesting publication of SIR after Examiner action	
	110	55	Extension for reply within first month	
1. BASIC FILING FEE	400	200	Extension for reply within second month	<u> </u>
Large Entity Small Entity Fee Fee Description	920	460	Extension for reply within third month	
(\$) (\$) Fee Paid	1,440	720	Extension for reply within fourth month	
740 370 Utility filing fee	1,960	980	Extension for reply within fifth month	
330 165 Design filing fee	320	160	Notice of Appeal	
510 255 Plant filing fee	320		Filing a brief in support of an appeal	
740 370 Reissue filing fee	280	140	Request for oral hearing	
160 80 Provisional filing fee	1,510	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	110	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	1,280	640	Petition to revive - unintentional	
Fee from Extra Claims below Fee Paid	1,280	640	Utility issue fee (or reissue)	
Total Claims 20 = 0 x = 0	460	230	Design issue fee	
Independent 3 ** = 0 X = 0	620	310	Plant issue fee	
Multiple Dependent = =	130	130	Petitions to the Commissioner	
	50	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	180	180	Submission of Information Disclosure Stmt	
Fee Fee Description (\$) (\$)	40	40	Recording each patent assignment per	
18 9 Claims in excess of 20		.=-	property (times number of properties)	
84 42 Independent claims in excess of 3	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
280 140 Multiple dependent claim, if not paid 84 42 ** Reissue independent claims	740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
over original patent	740	370	Request for Continued Examination (RCE)	
18 9 ** Reissue claims in excess of 20 and over original patent	900	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0	Other fee (spec	ify)		
**or number previously paid, if greater; For Reissues, see above	*Reduced by B		g Fee Paid SUBTOTAL (3) (\$) 0	

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Alicia A. Russo	Registration No. (Attorney/Agent)	46,192	Telephone	(212) 408-2500	
Signature	Mean (Kuroso			Date	October 4, 2002	



BA	KER BOTTS LLE	Attorney Docket Number: A34934-PCT-USA 072667.0180
tle:	METHOD FOR OBTAINING ISC	GENIC TRANSGENIC LINES
Use Spa	ace Below for Additional Informati	ion:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Perez et al.

Serial No.

10/048,185

Examiner

: To Be Assigned

Filed

January 28, 2002

Group Art Unit: To Be Assigned

TECH CENTER 1600/2900

RECEIVED NOV 1:8 2002

For

METHOD FOR OBTAINING ISOGENIC TRANSGENIC LINES

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

October 4, 2002

Date of Deposit

46,192

Alicia A. Russo Attorney Name

PTO Registration No.

October 4, 2002

Date of Signature

Assistant Commissioner for Patents Washinton, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.56, Applicants respectfully request that the documents relating to the above-mentioned application listed herein be considered and made of record in the U.S. Patent and Trademark Office.

U.S. Patent No. 4,940,835 issued July 10, 1990 to Shah et al.; 1.

A34934-PCT-USA (072667.0180) PATENT

- 2. U.S. Patent No. 4,971,908 issued November 20, 1990 to Kishore et al.;
- 3. U.S. Patent No. 5,145,783 issued September 8, 1992 to Kishore et al.;
- 4. U.S. Patent No. 5,188,642 issued February 23, 1993 to Shah et al.;
- 5. U.S. Patent No. 5,310,667 issued May 10, 1994 to Eichholtz et al.;
- 6. U.S. Patent No. 5,312,910 issued May 17, 1994 to Kishore et al.;
- 7. U.S. Patent No. 5,463,175 issued October 31, 1995 to Barry et al.;
- 8. U.S. Patent No. 5,627,061 issued May 6, 1997 to Barry et al.;
- 9. U.S. Patent No. 5,633,435 issued May 27, 1997 to Barry et al.;
- 10. U.S. Patent No. 5,932,698 issued August 3, 1999 to Dubois et al.;
- 11. U.S. Patent No. 6,127,336 issued October 3, 2000 to Bulet et al.;
- 12. U.S. Patent No. 6,187,571 issued February 13, 2001 to Pignard et al.;
- 13. U.S. Patent No. 6,268,549 issued July 31, 2001 to Sailland et al;
- ✓ 14. WO 91/02071 published on February 21, 1991;
- 15. WO 92/01792 published on February 06, 1992;
- $\sqrt{16}$. WO 93/02197 published on February 04, 1993;
- 17. WO 94/13790 published on June 23, 1994;
- √18. WO 95/06128 published on March 02, 1995;
- 19. WO 96/38567 published on December 05, 1996;
- ∠0. WO 97/04103 published on February 06, 1997;
- √21. WO 97/17432 published on May 15, 1997;
- 22. WO 97/30082 published on August 21, 1997;
 - 23. WO 98/02562 published on January 22, 1998;

A34934-PCT-USA (072667.0180) PATENT

- $\sqrt{24}$. WO 98/08932 published on March 05, 1998;
- ¹25. WO 98/32326 published on July 30, 1998;
- Australian Patent Application No. AU749323 by Boudec et al., filed November 6, 1998;
 - 27. WO 99/02717 published on January 21, 1999;
 - 28. WO 99/09189 published on February 25, 1999;
- √ 29. WO 99/24585 published on May 20, 1999;
- $\sqrt{30}$. WO 99/24586 published on May 20, 1999;
 - 31. WO 99/24594 published on May 20, 1999;
 - 32. WO 99/53053 published on October 21, 1999;
 - 33. U.S. Patent Application No. 09/480,251 by DeRose et al., filed January 11, 2000;
 - 34. U.S. Patent Application No. 09/486,094 by Freyssinet et al., filed July 17, 2000;
 - U.S. Patent Application No. 09/544,024 by Freyssinet et al., filed October 16, 2000;
 - 36. U.S. Patent Application No. 09/673,274 by Lamberty et al., filed February 2, 2001;
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These documents are listed in the accompanying PTO Form 1449. Copies of the documents are submitted herewith in ten bound volumes with numbered tabs corresponding to the foregoing numbering.

Applicants have not previously submitted a copy of the International Preliminary Examination Report (IPER) that was issued September 19, 2002 in connection with International Application PCT/FR00/02130, of which the instant application is a National Stage application under 35 U.S.C. §371. A copy of the IPER is enclosed herewith.

U.S. Patent Application No. 07/842,165, now U.S. Patent No. 5,932,698 (document 10), is a 371 National Stage of International PCT Application PCT/FR91/00607, which was published in French as WIPO Publication No. WO 92/01792 (document 15) and, therefore, provides an English translation for the French publication.

U.S. Patent Application No. 09/125,234, now U.S. Patent No. 6,127,336 (document 11), is a 371 National Stage of International PCT Application PCT/FR97/00295, which was published in French as WIPO Publication No. WO 97/30082 (document 22) and, therefore, provides an English translation for the French publication.

U.S. Patent Application No. 08/448,398, now U.S. Patent No. 6,187,571 (document 12), is a 371 National Stage of International PCT Application PCT/FR93/01203, which was published in French as WIPO Publication No. WO 94/13790 (document 17) and, therefore, provides an English translation for the French publication.

U.S. Patent Application No. 08/945,515, now U.S. Patent No. 6,268,549 (document 13), is a 371 National Stage of International PCT Application PCT/FR96/00831, which was published in French as WIPO Publication No. WO 96/38567 (document 19) and, therefore, provides an English translation for the French publication.

Australian Patent Application No. AU749323 by Boudec et al. (document 26), filed November 6, 1998, WIPO Publication No. WO 99/24585 (document 29), which is in French, and WIPO Publication No. WO 99/24586 (document 30), which is in French, all claim priority to French Application No. FR 97/14264 and, therefore, appear to be related. As such, Applicants believe AU749323 provides an English translation for these applications. *See also* http://ep.espacenet.com/ (printout of October 2, 2002 attached to WO 99/24585 and WO 99/24586).

U.S. Patent Application No. 09/480,251 by DeRose et al., filed January 11, 2000, (document 33) is a Continuation application of International PCT Application PCT/FR98/01462, which was published in French as WIPO Publication No. WO 99/02717 (document 27). Therefore, these applications are related and 09/480,251 represents an English version of PCT/FR98/04103.

U.S. Patent Application No. 09/486,094 by Freyssinet et al., filed July 17, 2000, (document 34) is a 371 National Stage of International PCT Application PCT/FR98/01814, which was published in French as WIPO Publication No. WO 99/09189 (document 28). Therefore, these applications are related and 09/486,094 represents an English version of PCT/FR98/01814.

U.S. Patent Application No. 09/544,024 by Freyssinet et al., filed October 16, 2000, (document 35) is a 371 National Stage of International PCT Application PCT/FR98/02375, which was published in French as WIPO Publication No. WO

99/24594 (document 31). Therefore, these applications are related and 09/544,024 represents an English version of PCT/FR98/02375.

U.S. Patent Application No. 09/673,274 by Lamberty et al., filed February 2, 2001, (document 36) is a 371 National Stage of International PCT Application PCT/FR99/00823, which was published in French as WIPO Publication No. WO 99/53053 (document 32). Therefore, these applications are related and 09/673,274 represents an English version of PCT/FR99/00823.

Gallais 1983 (document 38) is in French. Applicants do not currently have an English translation of this document, but will seek to determine whether an English translation or an English abstract is readily available and will submit a translation if obtained.

Applicants have provided an English abstract for WO 97/04103, which is in French. Applicants will seek to determine whether an English translation is readily available and will submit the translation if obtained.

Applicants have provided an English abstract for WO 98/02562, which is in French. Applicants will seek to determine whether an English translation is readily available and will submit the translation if obtained.

Identification of the documents listed in the attached PTO Form 1449 is not to be construed as an admission of Applicants or Attorneys for Applicants that such reference is available as "prior art" against the accompanying application.

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Applicants have not yet received a first Office Action on the merits.

Therefore, Applicants believe that no fee is due with this submission pursuant to 37

C.F.R. §1.97(b)(3). Nevertheless, the Commissioner is authorized to deduct any fee required for this submission from deposit account number 02-4377. Two copies of this page are enclosed.

Respectfully submitted,

October 4 2002

Louis S. Sorell

PTO Reg. No. 32,439

Alicia A. Russo PTO Reg. No. 46,192

Attorneys for Applicants BAKER BOTTS, L.L.P. 30 Rockefeller Plaza New York, NY 10112 (212) 408-2626

Enclosures:

Copies of the 85 cited documents
International Preliminary Examination Report

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office

Atty. Docket No. 34934-PCT-USA 072667.0180

Serial No. 10/048,185

DESCRIPTION DISCLOSURE STATEMENT
BY APPLICANT

OCT 0 7 2002 & several sheets if necessary)

Perez et al.
Filing Date

Applicant

January 28, 2002

Group TBA

TRADEMARK OF

U.S. PATENT DOCUMENTS

Exam. Init.			Docu	ment N	lo.			Date	Name		Class	Subclass	Filing Date if Appropriate
	4	9	4	0	8	3	5	7-10-90	Shah et al.				7-7-86
	4	9	7	1	9	0	8	11-20-90	Kishore et al.				4-22-88
•	5	1	4	5	7	8	3	9-8-92	Kishore et al.	=1			7-9-90
	5	1	8	8	6	4	2	2-23-93	Shah et al.	7			2-12-90
	5	3	1	0	6	6	7	5-10-94	Eichholtz et al.	CENTER	VOV		7-17-89
	5	3	1	2	9	1	0	5-17-94	Kishore et al.) (C)	П	9-4-92
	5	4	6	3	1	7	5	10-31-95	Barry et al.	100	·	\leq	2-21-95
	5	6	2	7	0	6	1	5-6-97	Barry et al.	1600/2900	2002		6-7-95
	5	6	3	3	4	3	5	5-27-97	Barry et al.	8			9-13-94
	5	9	3	2	6	9	8	08-03-1999	Dubois et al.				07-24-1991
	6	1	2	7	3	3	6	10-03-2000	Bulet et al.				02-17-1997
	6	1	8	7	5	7	1	02-13-2000	Pignard et al.				12-07-1993
	6	2	6	8	5	4	9	07-31-2001	Sailland et al.		-3450		06-03-1996

FOREIGN PATENT DOCUMENT

			Docum	nent N	o.			Date	Country	Class	SubClass	<u>Translation</u> Yes No	
	9	1	0	2	0	7	1	02-21-91	WIPO				
	9	2	0	1	7	9	2	02-06-92	WIPO	7			
	9	3	0	2	1	9	7	02-04-93	WIPO				
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Page 2 of 6 REV. 2-82) Patent and Trademark Office Form PTO-129 U.S. Department of Commerce Serial No. Atty. Docket No. 34934-PCT-USA 072667.0180 10/048,185 **Applicant** INFORMATION DISCLOSURE STATEMENT Perez et al. **BY APPLICANT** CENTER | 600/2900 (Use several sheets if necessary) Filing Date Group January 28, 2002 TBA 05-15-97 **WIPO** 3 2 4 08-21-97 **WIPO** 0 9 7 3 0 8 2 2 2 01-22-98 **WIPO** 9 8 5 0 6 03-05-98 **WIPO** 9 8 2 0 9 3 2 **WIPO** 07-30-98 9 8 3 3 6 2 11-06-1998 9 Australia (AU) 7 3 2 2 4 01-21-99 **WIPO** 9 2 7 7 9 0 1 9 02-25-99 **WIPO** 9 9 0 8 1 05-20-99 9 9 **WIPO** 2 4 5 8 5 05-20-99 **WIPO** 9 9 2 5 8 6 4 05-20-99 **WIPO** 9 9 2 4 5 9 4 10-21-99 **WIPO** 9 3 9 5 0 5 OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.) U.S. Patent Application No. 09/480,251 by DeRose et al., filed January 11, 2000 U.S. Patent Application No. 09/486,094 by Freyssinet et al., filed July 17, 2000 U.S. Patent Application No. 09/544,024 by Freyssinet et al., filed October 16, 2000 U.S. Patent Application No. 09/673,274 by Lamberty et al., filed February 2, 2001 E.M. Southern, "Detection of Specific Sequences Among DNA Fragments Separated by Gel Electrophoresis", J. MOL. BIOL., 1975, Vol. 98, pp. 503-517 B. Burr et al., "The Application of Restriction Fragment Length Polymorphism To Plant Breeding", in Maize DB, Setlow, JK and Hollaender, A, 1983, Plenum Press, NY, pp. 45-59 Andre Gallais, "Pourquoi un colloque? Connaitre la plante pour mieux produire", AGROMAIS, 1983, No. 20, p. 13 Hoekema et al., "A binary plant vector strategy based on separation of vir- and T-region of the Agrobacterium tumefaciens Ti-Plasmid", NATURE, 1983, Vol. 303, pp. 179-180 Armstrong CL et al., "Genetic control of plant regeneration from maize tissue cultures", MAIZE GENET. COOP. NEWSLETTER, 1985, Vol. 59, pp. 92-93

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